

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 39734-176754

First Inventor Shau-Chi CHI

Title AN IMMORTAL CELL LINE DERIVED FROM GROUPE  
EPINEPHELUS COIOIDES AND ITS APPLICATIONS THEREIN

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 27 ]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8 ]
5. Oath or Declaration [Total Pages 2 ]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
- 6a. ☐ Priority is claimed under the provisions of 35 U.S.C. § 119  
AppIn No. \_\_\_\_\_ filed in \_\_\_\_\_ on  
AppIn No. \_\_\_\_\_ filed in \_\_\_\_\_ on

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement [ ] Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure [ ] Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No: 09 / 450,696

Prior application information: Examiner Patricia A. Duffy

Group / Art Unit: 1645

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**20. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

26694

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

Name VENABLE

Address P.O. Box 34385

City Washington

State

D.C.

Zip Code

20043-9998

Country U.S.A.

Telephone

202-962-4800

Fax

202-962-8300

Name (Print/Type)

Fei-Fei Chao, Ph.D.

Registration No. (Attorney/Agent)

43,538

Signature

Date

December 6, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	Not Assigned
Filing Date	December 6, 2001
First Named Inventor	Shau-Chi CHI
Examiner Name	Patricia A. Duffy
Group / Art Unit	1645
Attorney Docket No.	39734-176754

TOTAL AMOUNT OF PAYMENT (\$ ) 410

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

22-0261

Deposit  
Account  
Name

Venable

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ ) 370

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
14	-20 ** = 0	X	= 0	
Independent Claims	1	-3 ** = 0	X	= 0
Multiple Dependent		X	= 0	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

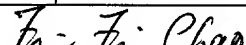
\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ ) 40

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Fei-Fei Chao, Ph.D.	Registration No. Attorney/Agent)	43,538	Telephone	202-216-8011
Signature				Date	December 6, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**VENABLE**  
ATTORNEYS AT LAW

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PC Docs No. DCDocs2/336789

Attorney Docket: 39734-176754

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Shau-Chi CHI**

Serial No.: **To be Assigned**

Art Unit: 1645

Filed: **December 6, 2001**

Examiner: **Patricia A. Duffy**

For: **AN IMMORTAL CELL LINE DERIVED FROM GROUPEP *EPINEPHELUS*  
*COIODES* AND ITS APPLICATIONS THEREIN**

**STATEMENT FOR THE SUBMISSION OF COMPUTER  
READABLE FORM IN COMPLIANCE WITH 37 CFR § 1.821**

In compliance with the requirement for "Nucleotide Sequence disclosures in patent application" under 37 CFR § 1.821(f), the undersigned patent attorney for the above identified application hereby submits a computer diskette which contains the computer readable form of the "Sequence Listing" as shown on pages 23-24 of the Specification of the above identified patent application. The "Sequence Listing" is saved under the Text format. The undersigned patent attorney respectfully submits that the information recorded in the computer readable form is identical to the written sequence listing and no new matter has been introduced.

Respectfully submitted,



Fei-Fei Chao, Ph.D.

Reg. No. 43,538

Date: December 6, 2001

Fei-Fei Chao, Ph.D.

Venable, Baetjer, Howard & Civiletti, LLP

Washington, D.C. 20005

(202) 216-8011